

## INTRODUCTION

- Want to construct model which is like the original object in *essential* ways and can be unlike the original object in *irrelevant* ways.
- deductive thought is inferring conclusions from assumptions, whatever they are
- Symbolic logic is a mathematical model of deductive thought
- Want to know (a) how to prove sentences following logically from others, (b) gaps in provability, and (c) computability.
- Will see that the *first-order* logic model is well suited for mathematics i.e. common (axiomatic) math can be translated into first-order logic.

## 0. USEFUL FACTS ABOUT SETS

### 0.1. SETS.

**Definition.** abbreviations

- $\dashv$  means end of proof
- $\_ \Rightarrow \_$  means if  $\_$  then  $\_$
- $\Leftarrow$  means converse implication
- $\Leftrightarrow$  means iff
- $\therefore$  means therefore
- $/$  means denial e.g.  $\neq$

**Definition.**

- A set is a collection of objects called members or elements; determined just by its members
- $t \in A$  means  $t$  is an element of set  $A$
- $x = y$  means they are the same object
- $A; t$  means  $A \cup \{t\}$
- $\emptyset$  means empty set
- $\mathbb{N} = \{0, 1, 2, \dots\}$
- $\mathbb{Z} = \{\dots, -1, 0, 1, \dots\}$
- $\{x | \_ \}$  means the set of all  $x$  s.t.  $\_$
- $A \subseteq B$  means  $x \in A \Rightarrow x \in B$
- $\mathcal{P}A = \{x | x \subseteq A\}$  ("power set")

**Example.**

- $A = B \Leftrightarrow (\forall t, t \in A \Leftrightarrow t \in B)$   
note: the converse is called the principle of extensionality.
- $t \in A \Leftrightarrow A; t = A$
- $\forall$  finite number of elements  $x_1, \dots, x_n, \exists$  set  $\{x_1, \dots, x_n\}$   
e.g. singleton set  $\{x\}$
- $\{x, y\} = \{y, x\}$  i.e. order doesn't matter
- $\emptyset$  is a subset of every set
- Pf: vacuously true since no elements to check
- $\mathcal{P}\emptyset = \{\emptyset\}$
- $\mathcal{P}\{\emptyset\} = \{\emptyset, \{\emptyset\}\}$

### 0.2. UNIONS AND INTERSECTIONS.

**Definition.**

- $A \cup B = \{x | x \in A \text{ or } x \in B \text{ or both}\}$
- $A \cap B = \{x | x \in A \text{ and } x \in B\}$
- $A, B$  disjoint means  $A \cap B = \emptyset$
- A disjoint collection of sets means pairwise disjoint
- Let  $A$  be a collection of sets  
 $\cup A = \{x | x \in \text{some member of } A\}$   
 $\cap A = \{x | x \in \text{all members of } A\}$   
 $\cup_n A_n = \{x \in A_n \ \forall n \in \mathbb{N}\}$  (?)

**Example.**

- Let  $A = \{\{0, 1, 5\}, \{1, 6\}, \{1, 5\}\}$   
then  $\cup A = \{0, 1, 5, 6\}, \cap A = \{1\}$
- $A \cup B = \cup\{A, B\}$   
 $\cup \mathcal{P}A = A$

### 0.3. ORDERED PAIRS.

**Definition.**

- ordered pair  $\langle x, y \rangle$  must satisfy property  $\langle x, y \rangle = \langle u, v \rangle \Leftrightarrow x = u, y = v$   
standard definition:  $\langle x, y \rangle = \{x, \{x, y\}\}$
- ordered triple defined  $\langle x, y, z \rangle = \langle \langle x, y \rangle, z \rangle$

- $n$ -tuple defined recursively by  $\langle x_1, \dots, x_n \rangle = \langle \langle x_1, \dots, x_{n-1} \rangle, x_n \rangle$   
also,  $\langle x \rangle = x$
- finite sequence ("string") of members of  $A$  is  $S = \langle x_1, \dots, x_n \rangle$  where  $x_i \in A$  and  $n \in \mathbb{N}$  (can also define as finite function)
- segment of a finite sequence  $S = \langle x_1, \dots, x_n \rangle$  is a finite sequence  $\langle x_k, x_{k+1}, \dots, x_{m-1}, x_m \rangle$  where  $1 \leq k < m \leq n$
- initial segment means  $k = 1$
- proper segment means  $1 < k < m < n$

**Claim.**

- $\langle x_1, \dots, x_n \rangle = \langle y_1, \dots, y_n \rangle \Rightarrow x_i = y_i$  for  $1 \leq i \leq n$   
Pf: induction on  $n$ , basic properties of ordered pairs
- $\langle x_1, \dots, x_m \rangle = \langle y_1, \dots, y_n \rangle \not\Rightarrow m = n$   
Pf: every ordered triple is also an ordered pair.  
Note: some  $x_i$  is a finite sequence of  $y_i$ 's  $\Rightarrow m \neq n$

**Lemma.** 0A

$$\langle x_1, \dots, x_m \rangle = \langle y_1, \dots, y_{m+k} \rangle \Rightarrow x_1 = \langle y_1, \dots, y_{k+1} \rangle$$

*Proof.* induction on  $m$

$m = 1$  then conclusion is immediate

if  $\langle x_1, \dots, x_m, x_{m+1} \rangle = \langle y_1, \dots, y_{m+k}, y_{m+k+1} \rangle$

then  $\langle x_1, \dots, x_m \rangle = \langle y_1, \dots, y_{m+k} \rangle$

Apply inductive hypothesis

**Example.** Let set  $A$ , no member of  $A$  is a finite sequence of its other members.

if  $\langle x_1, \dots, x_m \rangle = \langle y_1, \dots, y_n \rangle$  where  $x_i, y_j \in A$

then  $m = n$  and  $x_i = y_i$

Pf: Lemma 0A

**Definition.**

- cartesian product of sets  $A \times B = \{\langle x, y \rangle | x \in A, y \in B\}$
- $A^n$  is the set of all  $n$ -tuples of members of  $A$   
e.g.  $A^3 = (A \times A) \times A$

### 0.4. RELATIONS.

**Definition.**

- relation  $R$  is a set of ordered pairs  
e.g. ordering relation on  $\{0, 1, 2\}$  is  $\{\langle 0, 1 \rangle, \langle 0, 2 \rangle, \langle 1, 2 \rangle\}$
- The domain of relation  $R$   $\text{dom } R = \{x | \langle x, y \rangle \in R\}$   
The range of relation  $R$   $\text{ran } R = \{y | \langle x, y \rangle \in R\}$   
The field of relation  $R$   $\text{fld } R = \text{dom } R \cup \text{ran } R$
- An  $n$ -ary relation on set  $A$  is a subset of  $A^n$   
a 1-ary ("unary") relation is just a subset of  $A$   
a 2-ary ("binary") relation is a subset of  $A \times A$   
e.g. equality relation  $\{\langle x, x \rangle | x \in A\}$
- Let  $n$ -ary relation  $R$  on  $A; B \subseteq A$   
The restriction of  $R$  to  $B$  is  $R \cap B^n$   
e.g. above ordering relation on  $\{0, 1, 2\}$  is restriction on  $\mathbb{N}$

**Definition.** Enderton put these in section (0.5)

- reflexive relation  $R$  on  $A$  means  $\langle x, x \rangle \in R \forall x \in A$
- symmetric relation  $R$  means  $\langle x, y \rangle \in R \Rightarrow \langle y, x \rangle \in R$
- transitive relation  $R$  means  $\langle x, y \rangle \in R, \langle y, z \rangle \in R \Rightarrow \langle x, z \rangle \in R$
- equivalence relation  $R$  on  $A$  means  $R$  is a binary relation which is reflexive on  $A$ , symmetric, transitive
- relation  $R$  satisfies trichotomy on  $A$  means  $\forall x, y \in A$ , exactly one holds:  
(1)  $\langle x, y \rangle \in R$ , (2)  $x = y$ , (3)  $\langle y, x \rangle \in R$
- ordering relation  $R$  on  $A$  means  $R$  is transitive and satisfies trichotomy on  $A$
- Let equivalence relation  $R$  on  $A$   
The equivalence class of  $x \in A$  wrt  $R$  is  $[x] =$

$$\{y | \langle x, y \rangle \in R\}$$

Note: equivalence classes partition  $A$  i.e. equivalence classes are subsets of  $A$  and each  $x \in A$  belongs to exactly one equivalence class;  $[x] = [y]$  iff  $\langle x, y \rangle \in R$

### 0.5. FUNCTIONS.

**Definition.**

- A function is a relation  $F$  with the single-valued property ( $\forall x \in \text{dom } F, \exists! y (= "f(x)")$  s.t.  $\langle x, y \rangle \in F$ )
- composition  $f \circ g$  of functions is the function whose value at  $x$  is  $f(g(x))$
- $f$  maps  $A$  into  $B, f : A \rightarrow B$ , means  $f$  is a function,  $\text{dom } F = A, \text{ran } F \subseteq B$   
 $f$  maps  $A$  onto  $B, f : A \rightarrow B$ , means  $f$  is a function,  $\text{dom } F = A, \text{ran } F = B$
- $f$  is one-to-one ("1-1") means  $\forall y \in \text{ran } F, \exists! x$  s.t.  $\langle x, y \rangle \in F$
- $f(\langle x, y \rangle)$  (" $f(x, y)$ ") means  $\langle x, y \rangle \in \text{dom } f$   
 $f(\langle x_1, x_2, \dots, x_n \rangle) = f(\langle \langle x_1, x_2, \dots, x_n \rangle \rangle)$  similarly
- $n$ -ary operation on  $A$  is a function mapping  $A^n$  into  $A$   
e.g. a binary operation on  $\mathbb{N}$  is addition  
e.g. a unary operation on  $\mathbb{N}$  is successor  $S(n) = n + 1$   
e.g. a unary operation on  $A$  is Identity function  $Id = \{\langle x, x \rangle | x \in A\}; Id(x) = x \forall x \in A$
- Let  $f$  be an  $n$ -ary operation on  $A$ .  
The restriction of  $f$  to  $B \subseteq A$  is the function  $g$  with domain  $B^n$  and agrees with  $f$  at each point of  $B^n$   
thus  $g = f \cap (B^n \times A)$
- this  $g$  is an  $n$ -ary relation on  $B$  iff  $B$  is closed under  $f$  i.e.  $f(b_1, \dots, b_n) \in B$   
then  $g = f \cap B^{n+1}$   
e.g. the addition operation on  $\mathbb{N}$  is addition on  $\mathbb{R}$  restricted to  $\mathbb{N}$ , contains  $\langle \langle 2, 3 \rangle, 5 \rangle$

### 0.6. SIZES OF SETS.

**Definition.**

- finite set  $A$  means  $\exists f : A \rightarrow \{0, 1, \dots, n-1\}$  1-1 and onto
- countable set  $A$  means  $\exists f : A \rightarrow \mathbb{N}$  1-1  
e.g. finite  $\Rightarrow$  countable

**Claim.** If  $A$  infinite countable set,  $f : A \rightarrow \mathbb{N}$  1-1 then  $\exists f' : A \rightarrow \mathbb{N}$  1-1, onto

Pf:  $A = \{a_0, a_1, a_2, \dots\}$ , define  $f'(a_n)$  to be the  $(n+1)^{\text{th}}$  least member of  $\text{ran } f$

**Theorem.** 0B

if  $A$  countable set

then the set  $S$  of all finite sequences of members of  $A$  is countable

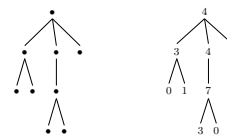
*Proof.* let  $S = \cup_{n \in \mathbb{N}} A^{n+1}$

$A$  countable  $\Rightarrow \exists f : A \rightarrow \mathbb{N}$  1-1

define map  $S \mapsto \mathbb{N}$  1-1 by assigning  $\langle a_0, a_1, \dots, a_m \rangle$  the number  $2^f(a_0)+1 \cdot 3^f(a_1)+1 \cdot \dots \cdot p_m^{f(a_m)+1}$  where  $p_m$  is the  $(m+1)^{\text{th}}$  prime

See book for fixing some details.

**Remark.** informally, a tree is a picture of a finite partial ordering  $R$  s.t. if  $\langle a, b \rangle \in R$  then  $a$  is lower than  $b$  and connected by a line



where the right tree labeled by  $f : \{\text{vertices}\} \rightarrow \mathbb{N}$

**Remark.** will need axiom of choice when generalizing past countable languages.

Of the many equivalent statements, Zorn's lemma will be especially useful.

**Lemma.** (Zorn's) Let set  $A$  s.t. for any chain (?)  $C \subseteq A$  we have  $\cup C \subseteq A$  then  $\exists m \in A$  which is maximal (not a subset of any other elements of  $A$ )

**0.7. CARDINAL NUMBERS.**

*Remark.* Want to compare sizes of sets

**Definition.** Sets  $A, B$  are equinumerous,  $A \sim B$ , means  $\exists f : A \rightarrow B$  1-1 and onto i.e.  $\exists$  equivalence relation

e.g. finite sets, just compare number of elements e.g.  $\mathbb{N} \sim \mathbb{Z}$

*Remark.* sizes of finite sets are natural numbers sizes of infinite sets are cardinal numbers

**Definition.**

- cardinality of  $A$ ,  $\text{card } A$ , is an object assigned to  $A$  s.t.  $\text{card } A = \text{card } B$  iff  $A \sim B$

Note: common def satisfies requirement by  $\text{card } A =$  least ordinal (wont define) equinumerous with  $A$ , but this requires axiom of choice

- cardinal number ("cardinal") is something that is  $\text{card } A$  for some  $A$
- $A$  is dominated by  $B$ ,  $A \preceq B$ , means  $A$  is equinumerous with a subset of  $B$  i.e.  $\exists f : A \rightarrow B$  1-1, into

in terms of cardinals,  $A \preceq B$  means  $\text{card } A \leq \text{card } B$

note: dominance is reflexive, transitive e.g.  $A \preceq \mathbb{N}$  iff  $A$  countable

**Theorem.** (Schroder-Bernstein)

a) for any sets  $A, B$ ,  $[A \preceq B, B \preceq A \Rightarrow A = B]$   
 b) for any cardinal numbers  $\lambda, \kappa$ ,  $[\lambda \leq \kappa, \kappa \leq \lambda \Rightarrow \lambda = \kappa]$

**Theorem.**  $\text{OC}$  (equivalent to axiom of choice)

a) for any sets  $A, B$ , either  $A \preceq B$  or  $B \preceq A$   
 b) for any cardinal numbers  $\kappa, \lambda$ , either  $\lambda \leq \kappa$  or  $\kappa \leq \lambda$

*Remark.* So any nonempty set of cardinal numbers contains a smallest one.

The cardinal numbers in order of size are:

$0, 1, 2, \dots, \aleph_0 (= \mathbb{N}), \aleph_1, \dots$

where  $\aleph_0$  is the smallest infinity size

**Example.**  $\text{card } \mathbb{N} < \text{card } \mathbb{R} = 2^{\aleph_0}$

**Definition.** addition and multiplication generalized to nonfinite cardinals

Let  $A, B$  disjoint,  $\kappa = \text{card } A, \lambda = \text{card } B$

Then  $\kappa + \lambda = \text{card}(A \cup B)$  and  $\kappa \cdot \lambda = \text{card}(A \times B)$

note: these are well-defined since only depends on  $\kappa, \lambda$  and not on choice of  $A, B$ .

**Theorem.** (cardinal arithmetic)

Normal for finite cardinals. For infinite cardinals, if  $\kappa \leq \lambda$  then  $\kappa + \lambda = \lambda$  and  $\kappa \cdot \lambda = \lambda$  (for  $\kappa \neq 0$ ) e.g. If  $\kappa$  is infinite cardinal, then  $\kappa \cdot \aleph_0 = \kappa$

**Theorem.**  $\text{OD}$

Let infinite set  $A$ .

Then set  $\cup_n A^{n+1}$  of all finite sequences of elements of  $A$  has cardinality equal to  $\text{card } A$

*Proof.* For countable  $A$ , see thm 0B

Each  $A^{n+1}$  has cardinality equal to  $\text{card } A$  by applying cardinal arithmetic theorem  $n$  times

So we have union of  $\aleph_0$  sets of this size

So  $\aleph_0 \cdot \text{card } A = \text{card } A$

**Example.**

- The set of algebraic numbers (roots of polynomials in one variable) has cardinality  $\aleph_0$   
 Pf: each polynomial (in one variable) over the integers is identified with the sequence of coeffs  
 thm implies there are  $\aleph_0$  such polynomials  
 each polynomial has a finite number of roots  
 even if each polynomial had  $\aleph_0$  roots,  $\aleph_0 \cdot \aleph_0 = \aleph_0$
- $\text{card } \mathbb{R} = 2^{\aleph_0}$   
 So  $\text{card}\{\text{transcendental numbers}\} = 2^{\aleph_0}$

1. SENTENTIAL LOGIC

INFORMAL REMARKS ON FORMAL LANGUAGES

**Definition.**

- natural language is ambiguous, very expressive
- formal language is precise, less expressive

**Example.** can translate between formal and (declarative parts and compounds of) natural language

Let declarative  $K =$  Potassium was observed and  $C =$  Chlorine was observed

Given truth and falsity of declarative parts, can compute truth or falsity of compound

$K$	$C$	$(\neg(C \vee K))$	$((\neg C) \wedge (\neg K))$	$(K \rightarrow (\neg C))$	$(C \wedge K)$
F	F	T	T	T	F
F	T	F	F	F	F
T	F	F	F	F	F
T	T	F	F	F	F

Note:  $(\neg(C \vee K))$  and  $((\neg C) \wedge (\neg K))$  are the same

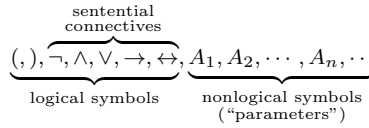
*Remark.* To describe formal language, need:

- 1) alphabet (ie set of symbols) (e.g. sentential logic:  $(, ), \neg, \wedge, \vee, \rightarrow, \leftrightarrow, A_1, A_2, \dots$ )
- 2) Grammar rules (ie whether a finite sequence of symbols is a well formed formula *wff*)
- 3) (optional) translation between symbols  $A_1, A_2, \dots$  and declarative english

**Example.** binary language *wff*: 011010001  
 assembly language *wff*: STEP#ADDIMAX, A  
 C++ language *wff*: while(\*s++);

1.1. THE LANGUAGE OF SENTENTIAL LOGIC.

**Definition.** The symbols of sentential logic is an infinite sequence of objects, each atomic (not finite combination of other symbols)



symbols	verbose name	English
(	left parentheses	punctuation
)	right parentheses	
¬	negation	not
∧	conjunction	and
∨	disjunction	or (inclusive)
→	conditional	if..then..
↔	biconditional	iff
$A_1$	first sentence	
$A_2$	second sentence	
⋮		
$A_n$	$n$ th sentence	
⋮		

*Remark.*

- logical symbols never change roles; whereas parameters are open to interpretation
- could use one sentence symbol and a prime ie  $A, A', A'', \dots$  and have 9 total symbols could also have arbitrary set of sentence symbols, even uncountably many, but §1.7 requires countably many
- "propositional logic" is similar, calling each  $A_n$  the " $n^{\text{th}}$  proposition symbol" then "sentence" is a particular utterance and "proposition" is that which a "sentence" asserts
- the ontological status of symbols remain neutral e.g. conditional symbol  $\rightarrow$  may not have any geometric property of an arrow e.g. symbols themselves can be sets, numbers, marbles, or objects from a universe of linguistic objects  
 sentence symbols can even be formulas from another language (ch. 2)

- symbols are atomic  
 this allows any finite sequence of symbols to be uniquely decomposable  
 so if  $\langle a_1, \dots, a_m \rangle = \langle b_1, \dots, b_n \rangle$  where each  $a_i, b_j$  are symbols  
 then  $m = n$  and  $a_i = b_i$   
 Pf: Lemma 0A

**Definition.** expression is a finite sequence of symbols, specified by concatenating symbols (e.g.  $\langle (, \neg, A_1, ) \rangle$  is  $(\neg A_1)$ ) or sequences of symbols (e.g. Let  $\alpha = (\neg A_1), \beta = A_2$  then  $(\alpha \rightarrow \beta)$  is  $((\neg A_1) \rightarrow A_2)$ ).

**Example.** translations between English (see book for many examples)  
 caution: English sentences can have different interpretations in different contexts

*Remark.* Some expressions are nonsense. Need definition for *wff*.

**Definition.**

- formula-building operations on expressions  $\alpha, \beta$  are

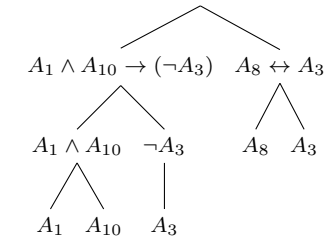
$$\begin{aligned} \varepsilon_{\neg}(\alpha) &= (\neg\alpha) \\ \varepsilon_{\wedge}(\alpha, \beta) &= (\alpha \wedge \beta) \\ \varepsilon_{\vee}(\alpha, \beta) &= (\alpha \vee \beta) \\ \varepsilon_{\rightarrow}(\alpha, \beta) &= (\alpha \rightarrow \beta) \\ \varepsilon_{\leftrightarrow}(\alpha, \beta) &= (\alpha \leftrightarrow \beta) \end{aligned}$$

- grammatically correct expression ("formula", "*wff*") satisfies

- a) every sentence symbol is a *wff*
- b) if  $\alpha$  and  $\beta$  are *wff*, then so are expressions built by applying formula-building operations e.g.  $(\neg\alpha), (\alpha \wedge \beta)$ , etc.
- c) otherwise, not *wff* (ie "compulsion")  
 note: empty sequence is not a *wff*, so smallest *wff* is a sentence symbol

**Example.** Ancestral tree

$$((A_1 \wedge A_{10}) \rightarrow (\neg A_3)) \vee (A_8 \leftrightarrow A_3)$$



*Remark.*

- We have a mathematical construction of taking building blocks (here, sentence symbols) and "closing" under operations (here, formula building operations)  
 see §1.4 for this sort of construction in more general setting
- want to elaborate on building

**Definition.**

- construction sequence is a finite sequence  $\langle \varepsilon_1, \varepsilon_2, \dots, \varepsilon_n \rangle$  of expressions s.t.  $\forall i \leq n$ , we have at least one of:
  - (a)  $\varepsilon_i$  is a sentence symbol
  - (b)  $\varepsilon_i = \varepsilon_{\neg}(\varepsilon_j)$  for some  $j < i$ , or
  - (c)  $\varepsilon_i = \varepsilon_{\square}(\varepsilon_j, \varepsilon_k)$  for some  $j, k < i$  where  $\square$  is a binary connective  $\wedge, \vee, \rightarrow, \leftrightarrow$   
 intuition:  $\varepsilon_i$  is the expression at "stage  $i$ " in the building process  
 intuition: obtain a construction sequence by squashing its ancestral tree into a linear ordering?
- Characterize *wff* as an expression  $\alpha$  s.t. some construction sequence ends in  $\alpha$

*Remark.* this construction yields an induction principle

**Theorem.** (induction principle)

Say set  $S$  is closed under a “two-place” function  $f$  means  $[x, y \in S \Rightarrow f(x, y) \in S]$  and similarly for “one-place” function

If  $S$  is a set of wffs containing all the sentence symbols and closed under all five formula building operations

then  $S$  is the set of all wffs

*Proof.* given arbitrary wff  $\alpha$ , make ancestral tree, starting from bottom, each node is expression  $\in S$ , build up to  $\alpha$ , so  $\alpha \in S$

(can prove similarly using construction sequence and strong induction)

**Claim.** any wff has a balanced number of left, right parentheses

*Proof.* start with sentence symbol, apply formula building operations which add parentheses only in matched pairs, induction principle

*Remark.* formula building operations only build up, not down

ie  $\varepsilon_{\square}(\alpha, \beta)$  always includes sequences  $\alpha, \beta$  as entire segments

This will help, given a wff  $\varphi$ , how it was built up

**1.2. TRUTH ASSIGNMENTS.**

*Remark.* what does it mean for one wff to “follow logically” from other wffs?

e.g.  $(A_1 \wedge A_2)$  true means  $A_1$  true

**Definition.**

- truth values are set of two distinct points, say  $\{F, T\}$
- truth assignment for a set  $S$  of sentence symbols is a function  $v : S \rightarrow \{F, T\}$
- (two-valued logic is logic with truth values  $\{F, T\}$  can also have three-valued,  $n$ -valued,  $\aleph_0$ -valued,  $[0, 1]$ -valued, but we focus on two-valued since it is the most significant)
- $\bar{S}$  = set of all wff that can be built up from  $S$  by the five formula building operations
- extension to  $v$  is  $\bar{v} : \bar{S} \rightarrow \{F, T\}$  s.t.  $0) \forall A \in S, \bar{v}(A) = v(A)$  i.e. “extension” and  $\forall \alpha, \beta \in \bar{S}$

- $\bar{v}(\neg\alpha) = \begin{cases} T & \text{if } \bar{v}(\alpha) = F \\ F & \text{ow} \end{cases}$
- $\bar{v}(\alpha \wedge \beta) = \begin{cases} T & \text{if } \bar{v}(\alpha) = T \text{ and } \bar{v}(\beta) = T \\ F & \text{ow} \end{cases}$
- $\bar{v}(\alpha \vee \beta) = \begin{cases} T & \text{if } \bar{v}(\alpha) = T \text{ or } \bar{v}(\beta) = T \\ F & \text{ow} \end{cases}$
- $\bar{v}(\alpha \rightarrow \beta) = \begin{cases} F & \text{if } \bar{v}(\alpha) = T \text{ and } \bar{v}(\beta) = F \\ T & \text{ow} \end{cases}$
- $\bar{v}(\alpha \leftrightarrow \beta) = \begin{cases} T & \text{if } \bar{v}(\alpha) = \bar{v}(\beta) \\ F & \text{ow} \end{cases}$

Corresponding truth table

$\alpha$	$\beta$	$(\neg\alpha)$	$(\alpha \wedge \beta)$	$(\alpha \vee \beta)$	$(\alpha \rightarrow \beta)$	$(\alpha \leftrightarrow \beta)$
T	T	F	T	T	T	T
T	F	F	F	T	F	F
F	T	T	F	T	T	F
F	F	T	F	F	T	T

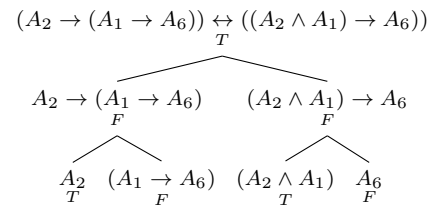
*Remark.*

- $(\alpha \rightarrow \beta)$  is “vacuously” true when  $\alpha = F$  intuitively, its a promise for  $\alpha$  true, and  $\alpha$  false never breaks the promise
- ignore nuances of everyday speech

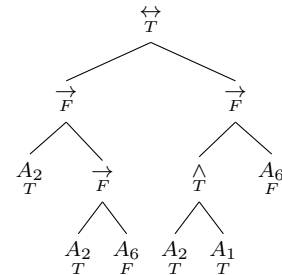
**Example.** Let  $v(A_1) = T, v(A_2) = T, v(A_6) = F$  Compute  $\bar{v}(\alpha)$  for  $\alpha = (A_2 \rightarrow (A_1 \rightarrow A_6)) \leftrightarrow ((A_2 \wedge A_1) \rightarrow A_6)$

Soln: method1: build ancestral tree and

work from bottom computing  $\bar{v}$  at each vertex.



method 2: same but less writing



method 3: same but even less writing

$$(A_2 \xrightarrow{T} (A_1 \xrightarrow{F} (A_6 \xrightarrow{F})) \leftrightarrow ((A_2 \xrightarrow{T} \wedge A_1 \xrightarrow{T}) \xrightarrow{F} A_6))$$

**Theorem.** 12A (preview of  $\exists! \bar{v}$ )

Let set  $S$

$\forall v : S \rightarrow \{F, T\}, \exists! \bar{v} : \bar{S} \rightarrow \{F, T\}$  meeting conditions 0-5.

*Proof.* Will emerge in §1.3-4

existence intuitive from eg above. uniqueness more difficult to prove

**Definition.**

- truth assignment  $\bar{v}$  satisfies wff  $\varphi$  means  $\bar{v}(\varphi) = T$
- Let  $\Sigma$  be a set of wffs,  $\tau$  be a wff  $\Sigma$  tautologically implies  $\tau, \Sigma \models \tau$ , means every truth assignment for the sentence symbols in  $\Sigma$  and  $\tau$  that satisfies every member of  $\Sigma$  also satisfies  $\tau$
- intuition: conclusion  $\tau$  satisfied whenever hypotheses  $\Sigma$  satisfied
- notation: for singleton  $\Sigma = \{\sigma\}$ , write  $\sigma \models \tau$
- $\tau$  is a tautology,  $\models \tau$ , means wff that is always satisfied
- intuition  $\emptyset \models \tau$  since  $\emptyset$  is vacuously satisfied
- tautologically equivalent,  $\sigma \models \tau$  and  $\tau \models \sigma$

**Example.**

- above example satisfied for  $v(A_1) = T, v(A_2) = T, v(A_6) = F$  it is also satisfied for the other seven truth assignments for  $\{A_1, A_2, A_6\}$ , so tautology
- $\{A, (\neg A)\} \models \tau$  vacuously true  $\forall \tau$  since  $\{A, (\neg A)\}$  never satisfied
- $\{A, (A \rightarrow B)\} \models B$  is tautology since both satisfied only when  $v(A) = v(B) = T$ , and then  $v(B) = T$
- from beginning of chapter,  $(\neg(C \vee K)) \models ((\neg C) \vee (\neg K))$

**Theorem.** (preview of compactness thm)

Let infinite set  $\Sigma$  of wffs

if every finite subset of  $\Sigma$  satisfiable

then  $\Sigma$  itself is satisfiable

*Proof.* see §1.7

This then asserts compactness of a certain topological space, and can prove using a theorem (Tychonoff’s thm on product spaces) from topology

**1.2.1. TRUTH TABLES.**

*Remark.* want systematic procedure to check whether  $\{\sigma_1, \dots, \sigma_k\} \models \tau$ , including case  $k = 0$ . General method make table for the  $2^k$  truth assignments.

**Example.**

- Show  $(\neg(A \wedge B)) \models ((\neg A) \vee (\neg B))$ 

A	B	$(\neg(A \wedge B))$	$((\neg A) \vee (\neg B))$
T	T	F	F
T	F	T	T
F	T	T	T
F	F	T	T
- Show  $(\neg(A \wedge B)) \not\models ((\neg A) \wedge (\neg B))$ 

Soln: just need one truth assignment satisfying LHS but not RHS
- Show  $\models ((A \vee (B \wedge C)) \leftrightarrow ((A \vee B) \wedge (A \vee C)))$ 

Soln: Can dispose of certain cases

A	B	C	$(A \vee (B \wedge C))$	$((A \vee B) \wedge (A \vee C))$
T	T	T	T	T
T	T	F	T	T
T	F	T	T	T
T	F	F	T	T
F	T	T	F	F
F	T	F	F	F
F	F	T	F	F
F	F	F	F	F
- For  $\alpha \rightarrow \beta$ , can also notice strong antecedent  $\alpha$  so weak conditional? see book.

*Remark.* General truth table method requires  $2^n$  rows (exponential time).

Dont know whether there is polynomial time method, this is P vs. NP related.

**1.2.2. A SELECTED LIST OF TAUTOLOGIES.**

- 1) Associative and commutative laws for  $\wedge, \vee, \leftrightarrow$  (?)
- 2) Distributive laws
  - $((A \wedge (B \vee C)) \leftrightarrow ((A \wedge B) \vee (A \wedge C)))$
  - $((A \vee (B \wedge C)) \leftrightarrow ((A \vee B) \wedge (A \vee C)))$
- 3) Negation
  - $((\neg(\neg A)) \leftrightarrow A)$
  - $((\neg(A \rightarrow B)) \leftrightarrow (A \wedge (\neg B)))$
  - $((\neg(A \leftrightarrow B)) \leftrightarrow ((A \wedge (\neg B)) \vee ((\neg A) \wedge B)))$
  - DeMorgan’s Laws
    - $((\neg(A \wedge B)) \leftrightarrow ((\neg A) \vee (\neg B)))$
    - $((\neg(A \vee B)) \leftrightarrow ((\neg A) \wedge (\neg B)))$
- 4) Other
  - Excluded middle:  $(A \vee (\neg A))$
  - Contradiction:  $(\neg(A \wedge (\neg A)))$
  - Contraposition:  $((A \rightarrow B) \leftrightarrow ((\neg B) \rightarrow (\neg A)))$
  - Exportation:  $((A \wedge B) \rightarrow C) \leftrightarrow (A \rightarrow (B \rightarrow C))$

**1.3. A PARSING ALGORITHM.**

*Remark.*

- This subsection: will prove that we have used enough parentheses to remove ambiguity  $\Rightarrow$  each wff is formed in a unique way e.g.  $\bar{v}(A_1 \vee A_2 \wedge A_3)$  depends on where you put parentheses for  $v(A_1) = T, v(A_2) = F \Rightarrow \exists \bar{v}$
- Instead of formulas, could just use ordered pairs (eg  $(\neg, \alpha)$ ) and triples (eg  $(\alpha, \wedge, \beta)$ ) and their concatenations, then it is immediate that formulas have unique decompositions but we want to use formulas

**Lemma.** 13A

Every wff has the same number of left and right parentheses

*Proof.* end of §1.1

**Lemma.** 13B

Any proper initial segment of a wff contains an excess of left parentheses thus no proper initial segment of a wff can itself be a wff

*Proof.* Let  $S =$  set of wff s.t. any initial segment contains an excess of left parentheses Any sentence symbol is in  $S$  vacuously list all proper initial segments of  $(\alpha \wedge \beta) \in S$ .

$S$  is closed under  $\varepsilon_\wedge$  (see book)

similar for  $\varepsilon_\neg, \varepsilon_\vee, \varepsilon_\rightarrow, \varepsilon_\leftrightarrow$

### 1.3.1. A PARSING ALGORITHM.

Remark.

- Want a procedure that, given an expression, will determine whether it is well formed and if so, (?)
- will construct an ancestral tree using formula-building operations
- will see that this tree is unique for given  $wff$  (which  $\Rightarrow$  we have enough parentheses to remove ambiguity)

**Algorithm.** Input: an expression

Output: "not a  $wff$ " or a tree

- 1) If all bottom vertices are sentence symbols, then done  
else take a bottom vertex
- 2) The first symbol must be (  
if second symbol is  $\neg$ , then jump to step 4
- 3) scan expression from left to right to find " $\alpha$ " where  $\alpha$  is nonempty expression with balanced parentheses  
next symbol must be  $\wedge, \vee, \rightarrow$ , or  $\leftrightarrow$ , i.e. the principle connective  
remainder must be " $\beta$ "  
extend tree by forming two new vertices below present one, with "left child"  $\alpha$  and "right child"  $\beta$   
return to step 1
- 4) first two symbols must be " $\neg$ "  
remainder must be " $\beta$ "  
extend tree with new vertex  $\beta$   
return to step 1

**Claim.**

- 1) algorithm halts after finite number of steps
- 2) algorithms choices could not have been made differently
- 3) if expressions didnt obey "must" then not  $wff$
- 4) if all "must"'s are obeyed then expression is  $wff$

Proof.

- 1) any vertex contains shorter expressions than above  
so tree depth bounded by expression length
- 2) in step 3, could not use more or less than  $\alpha$ , otherwise violates lemmas 13A,B  
so choice of principle connective is inevitable  
so this algorithm constructs the only possible tree
- 3) rejection is correct since the only possible attempt to make the tree failed
- 4) working up inductively, each vertex is a  $wff$ , including top vertex

**Theorem.** (unique readability)

$\exists!$  tree for each  $wff$

Proof. can construct tree using the algorithm, and unique since claim 2

**Claim.** given  $v, \exists! \bar{v}$

Proof. given  $v$  and any  $wff \varphi$ , can build its unique tree with algorithm then define  $\bar{v}$  at each vertex starting from bottom s.t. satisfies conditions 0-5 above see exercise 1.2.14 for uniqueness of  $\bar{v}$

Remark.  $\bar{v}$  is tricky because it is defined recursively ie nested in itself more on general recursion in next section

### 1.3.2. POLISH NOTATION.

Remark.

- can avoid parentheses without ambiguity define set of  $p-wff$ 's generated by operations  $D_\neg(\alpha) = \neg\alpha, D_\square(\alpha, \beta) = \square\alpha\beta$  where  $\square = \wedge, \vee, \rightarrow$ , or  $\leftrightarrow$   
e.g.  $\rightarrow \wedge AD \vee \neg B \leftrightarrow CB$  (see §2.3 for unique readability thm for such an expression)
- computer compilers usually begin by converting to polish notation

### 1.3.3. OMITTING PARENTHESES.

Remark. For neatness, will henceforth omit some parentheses. Conventions:

- 1) can omit outermost parentheses
- 2) negation symbol applies to as little as possible
- 3) given 2, the conjunction and disjunction symbols apply to as little as possible  
e.g.  $A \wedge B \rightarrow \neg C \vee D$  is  $((A \wedge B) \rightarrow ((\neg C) \vee D))$
- 4) when one connective symbol is repeated, grouping is to the right  
e.g.  $\alpha \wedge \beta \wedge \gamma$  is  $\alpha \wedge (\beta \wedge \gamma)$

### 1.4. INDUCTION AND RECURSION.

Remark. This section can be postponed

#### 1.4.1. INDUCTION.

Remark. Given some set, want to construct smallest subset closed under repeating same operation a finite number of times on some initial elements of the set  
Will consider abstractly, but we are specifically interested in sentential stuff

**Definition.**

- some set  $U$   
For sentential,  $U = \{\text{all expressions}\}$
- initial elements  $B \subseteq U$   
For sentential,  $B = \{\text{the sentence symbols}\}$
- operations  $\mathcal{F} = \{\text{unary } g : U \rightarrow U, \text{ binary } f : U \times U \rightarrow U\}$  (need not be finite set, will generalize to more operations in ch 2, and exercise 1.4.3)  
For sentential,  $\mathcal{F} = \{\varepsilon_\neg, \varepsilon_\wedge, \varepsilon_\vee, \varepsilon_\rightarrow, \varepsilon_\leftrightarrow\}$
- constructed set  $C$  is the set generated from  $B$  by ("closed under")  $\mathcal{F}$ .

intuition: given bricks  $B = \{a, b\}$  and mortar  $f, g$ , want  $C = \{a, b, g(a), f(b, b), g(f(b, b)), \dots\}$  to contain the things we can build  
eg sentential  $C = \{\text{all } wff\}$

Two constructions:

- 1) "from top down"  
Let  $S \subseteq U$   
Say  $S$  is closed under  $f, g$  means  $[x, y \in S \Rightarrow f(x, y), g(x) \in S]$   
Say  $S$  is inductive means  $B \subseteq S$  and  $S$  is closed under  $f, g$   
Define  $C^* = \cap \{S \mid S \text{ is inductive subset of } U\}$   
Note:  $C^*$  is the smallest inductive set
- 2) "from bottom up"

define construction sequence to be a finite sequence  $\langle x_1, \dots, x_n \rangle$  of elements of  $U$  s.t.  $\forall i \leq n$ , at least one of: (a)  $x_i \in B$ , (b)  $x_i = f(x_j, x_k)$  for some  $j, k < i$ , (c)  $x_i = g(x_j)$  for some  $j < i$

Define  $C_* = \{x \mid \text{some construction sequence ends with } x\}$   
in other words,  $C_*$  contains things reachable from  $B$  by applying  $f$  and  $g$  a finite number of times.

in other words, let  $C_n = \{x \mid \text{some construction sequence of length } n \text{ ends with } x\}$   
then  $C_1 = B$  and  $C_1 \subseteq C_2 \subseteq \dots$

Let  $C_* = \cup_n C_n$   
e.g.  $(g(f(a, f(b, b)))) \in C_5$ , which can be written as a tree

**Example.**

- 1)  $U = \mathbb{R}, B = \{0\}, \mathcal{F} = \{S(x) = x + 1\}$   
Then  $C_* = \mathbb{N}$
- 2)  $U = \mathbb{R}, B = \{0\}, \mathcal{F} = \{S(x) = x + 1, P(x) = x - 1\}$   
then  $C_* = \mathbb{Z}$   
note: there is more than one way to obtain each  $x \in C_*$
- 3)  $U = \{\text{functions with domain, range } \subseteq \mathbb{R}\}$   
 $B = \{\text{identity function, all const functions}\}$   
 $\mathcal{F} = \{\text{add, multiply, divide, and root extract}\}$   
which are operations on functions  
then  $C_*$  is a class of algebraic functions
- 4)  $U = \{\text{all expressions}\}$   
 $B = \{\text{the sentence symbols}\}$   
 $\mathcal{F} = \{\varepsilon_\neg, \varepsilon_\wedge, \varepsilon_\vee, \varepsilon_\rightarrow, \varepsilon_\leftrightarrow\}$   
then  $C_* = \{\text{all wffs}\}$

**Claim.**  $C^* = C_* = "C"$

Proof. ( $C^* \subseteq C_*$ ):  $C_*$  is inductive since  $B = C_1 \subseteq C_*$  and  $C_*$  closed under  $f$  since  $[x, y \in C_* \Rightarrow f(x, y) \in C_*]$  and  $g$  since  $[x \in C_* \Rightarrow g(x) \in C_*]$ . And can append  $f(x, y)$  or  $g(x)$  to any construction sequence.

( $C_* \subseteq C^*$ ): consider a point  $x_n \in C_*$  and a construction sequence  $\langle x_0, \dots, x_n \rangle$   
induction: base:  $x_0 \in B \subseteq C^*$ . induction on  $i$ :  $x_i \in C^*, i \leq n$  since  $C^*$  is closed under the functions.

**Theorem.** (induction principle)

Let  $C$  generated from  $B$  by  $\mathcal{F}$   
If  $S \subseteq C$  is inductive (ie  $B \subseteq S$  and  $S$  closed under the functions in  $\mathcal{F}$ )  
then  $S = C$

Proof. ( $C \subseteq S$ ):  $S$  is inductive so  $C = C^* \subseteq S$   
( $S \subseteq C$ ): hypothesis (inclusion?)

**Example.**

- $\alpha, \beta$  are proper segments of  $\varepsilon_\wedge(\alpha, \beta)$
- $C_{\text{special}}$  generated from  $\{A_2, A_3, A_5\}$  by  $\{\varepsilon_\neg, \varepsilon_\rightarrow\}$   
claim: every  $wff$  either belongs to  $C_{\text{special}}$  or it is not *special* (expression with only  $A_2, A_3, A_5, \neg, \rightarrow$ )  
pf: induction principle

#### 1.4.2. RECURSION.

Remark.

- recall,  $U$  is some set,  $B \subseteq U$  is initial set,  $\mathcal{F} = \{f : U \times U \rightarrow U, g : U \rightarrow U\}$ ,  $C$  is set generated from  $B$  by  $\mathcal{F}$
- want to define a function  $\bar{h}$  on  $C$  recursively

**Definition.**  $\bar{h}$  is defined recursively given

- 1) rules for computing  $\bar{h}(x) \forall x \in B$
- 2a) rules for computing  $\bar{h}(f(x, y))$  making use of  $\bar{h}(x)$  and  $\bar{h}(y)$
- 2b) rules for computing  $\bar{h}(g(x))$  making use of  $\bar{h}(x)$

Remark. Uniqueness obvious (?), but may not exist

**Example.**

- $\bar{v}$  in §1.2
- might not exist  
Let  $U = \mathbb{R}, B = \{0\}, f(x, y) = x \cdot y, g(x) = x + 1$   
then  $C = \mathbb{N}$   
Let  $\bar{h}$  s.t. (1)  $\bar{h}(0) = 0$ , (2a)  $\bar{h}(f(x, y)) = f(\bar{h}(x), \bar{h}(y))$ , (2b)  $\bar{h}(g(x)) = \bar{h}(x) + 2$   
no such  $\bar{h}$  can exist since ambiguity with  $\bar{h}(1) = 2$  using  $g(0) = 1$  and  $\bar{h}(1) = 4$  using  $f(g(0), g(0)) = 1$
- $G =$  group generated from  $B$  by the group multiplication and inverse operator  
then arbitrary map  $B$  into group  $H$  is not necessarily extendable to a homomorphism of  $G$  into  $H$   
but if  $G$  is a free group with set  $B$  of indep generators

then any such map is extendable to a homomorphism of the entire group

**Definition.**  $C$  is freely generated from  $B$  by  $f, g$  means it is generated and the restrictions  $f_C, g_C$  of  $f, g$  to  $C$  meet (1)  $f_C, g_C$  are 1-1 and (2) the range of  $f_C$ , the range of  $g_C$ , and  $B$  are piecewise disjoint

**Theorem.** (*recursion theorem*)  
(short version: if  $C$  is freely generated then a function  $h$  on  $B$  always has an extension  $\bar{h}$  on  $C$ )  
Assume  $C \subseteq U$  is freely generated from  $B$  by  $f : U \times U \rightarrow U$  and  $g : U \rightarrow U$   
Assume  $V$  is a set, and let functions  $h : B \rightarrow V, F : V \times V \rightarrow V, G : V \rightarrow V$   
then  $\exists \bar{h} : C \rightarrow V$  s.t. (i)  $\forall x \in B, \bar{h}(x) = h(x)$  and (ii)  $\forall x, y \in C, \bar{h}(f(x, y)) = F(\bar{h}(x), \bar{h}(y)), \bar{h}(g(x)) = G(\bar{h}(x))$

*Proof.* pages 41-44

*Remark.*

- intuition from algebra: any map  $h : B$  into  $V$  can be extended to homomorphism  $\bar{h} : C$  (with operators  $f, g$ ) into  $V$  (with operators  $F, G$ )
- intuition chromatically:  $\bar{h}$  paints each member of  $C$  some color
  - $h$  tells you how to color the initial elements  $B$
  - $F$  tells you how to combine colors  $x, y$  to obtain color  $f(x, y)$  i.e.  $F$  gives  $\bar{h}(f(x, y))$  in terms of  $\bar{h}(x), \bar{h}(y)$
  - $G$  tells you how to convert color  $x$  to color  $g(x)$  note:  $C$  is freely generated, so avoid conflict when  $F$  says green but  $G$  says red for some point unlucky enough to be equal both to  $f(x, y)$  and  $g(z)$  for some  $x, y, z$
- (continue 1-4 above) 1)  $C = \mathbb{N}$  is freely generated from  $\{0\}$  by  $S(x) = x + 1$  since  $S$  is 1-1 and  $0 \notin$  range of  $S$  so recursion thm  $\Rightarrow \forall$  set  $V, \forall a \in V, \forall F : V \rightarrow V, \exists \bar{h} : \mathbb{N} \rightarrow V$  s.t.  $\bar{h}(0) = a, \bar{h}(S(x)) = F(\bar{h}(x)) \forall x \in \mathbb{N}$   
e.g.  $\exists \bar{h} : \mathbb{N} \rightarrow \mathbb{N}$  s.t.  $h(0) = 0$  and  $\bar{h}(x) = 1 - \bar{h}(x)$ ; intuition:  $\bar{h} = 0$  for  $x$  even and 1 for  $x$  odd
- $C = \mathbb{R}$  is not freely generated from  $\{0\}$  by  $S(x) = x + 1, P(x) = x - 1$
- freeness fails
- $wffs$  are freely generated from {sentence symbols} by  $\{\varepsilon_{\neg}, \varepsilon_{\wedge}, \varepsilon_{\vee}, \varepsilon_{\rightarrow}, \varepsilon_{\leftrightarrow}\}$  (this was implicit from parsing algorithm in §1.3)

**Theorem.** (*unique readability*)  
The five formula building operations, when restricted to  $wff$ ,  
a) have ranges that are disjoint from each other and from the set of sentence symbols  
b) are 1-1  
in other words, the set of  $wff$  is freely generated from {sentence symbols} by  $\{\varepsilon_{\neg}, \varepsilon_{\wedge}, \varepsilon_{\vee}, \varepsilon_{\rightarrow}, \varepsilon_{\leftrightarrow}\}$

*Proof.* pg 40-41

**Claim.** Let  $S$  be set of all or some sentence symbols, truth assignments  $v : S \rightarrow \{F, T\}$ ,  $\bar{S}$  generated from  $S$  by  $fbs$   
Then  $\exists!$  extension  $\bar{v} : \bar{S} \rightarrow \{F, T\}$  of  $v$  with the desired properties

*Proof.* apply unique readability and recursive theorems

**Example.** want function which gives length of each  $wff$   
recursive thm  $\Rightarrow \exists!$   $h$  defined on the set of  $wff$  s.t.:  
a)  $\bar{h}(A) = 1$  for each sentence symbol  $A$   
b)  $\bar{h}(\neg A) = 3 + \bar{h}(A)$   
c)  $\bar{h}(\alpha \square \beta) = 3 + \bar{h}(\alpha) + \bar{h}(\beta)$  where  $\square$  is  $\wedge, \vee, \rightarrow, \leftrightarrow$   
*Remark.*

- our induction principle is not the only one possible
- can give proofs by induction (and definitions by recursion) for length of expressions, number of places with connective symbols, etc. This is less basic but may be necessary.

1.5. SENTENTIAL CONNECTIVES.

*Remark.* Would we gain anything by adding more connectives to the language? Would we lose anything by omitting some we already have?  
Will make these questions precise and give some answers.

**Example.** sometimes adding connective results in no gain  
define sixth formula building operation  $\varepsilon_{\#}(\alpha, \beta, \gamma) = (\#\alpha\beta\gamma)$  (note: 3-place connective) and  $\bar{v}(\#\alpha\beta\gamma) =$  majority of  $\bar{v}(\alpha), \bar{v}(\beta), \bar{v}(\gamma)$  but this is tautologically equivalent to  $(\alpha \wedge \beta) \vee (\alpha \wedge \gamma) \vee (\beta \wedge \gamma)$

*Remark.* easiest to define everything in terms of functions

**Definition.**  
•  $k$ -space boolean function ("boolean function") is a function from  $\{F, T\}^k$  into  $\{F, T\}$   
•  $0$ -space boolean function is  $F$  or  $T$  themselves

**Example.** Let  $X \in \{F, T\}$ . Then some boolean functions are:

$$\begin{aligned} I_i^n(X_1, \dots, X_n) &= X_i \\ N(F) = T, N(T) &= F \\ K(T, T) = T, K(F, X) &= K(X, F) = F \\ A(F, F) = F, A(T, X) &= A(X, T) = T \\ C(T, F) = F, C(F, X) &= C(X, T) = T \\ E(X, X) = T, E(T, F) &= E(F, T) = F \end{aligned}$$

**Definition.** let  $wff \alpha$  with sentence symbols  $A_1, \dots, A_n$   
 $n$ -place boolean function  $B_{\alpha}^n(X_1, \dots, X_n)$  realized by  $\alpha$  matches truth value of  $\alpha$  when  $v(A_i) = X_i$ .  
in other words,  $B_{\alpha}^n(X_1, \dots, X_n) = \bar{v}(\alpha)$  where truth assignments  $v(A_i) = X_i$   
i.e. fix  $\alpha, B_{\alpha}^n$  is defined  $\bar{v}(\alpha)$  as a function of  $v$   
notation: can omit superscript  $n$  when unambiguous

**Example.**  
•  $B_{\alpha}(\mathbf{X})$  extracted from  $wff \alpha = A_1 \wedge A_2$ , where  $\mathbf{X} \in \{F, T\}^2$ , has truth values matching  $\alpha$

$A_1$	$A_2$	$(A_1 \wedge A_2)$	$B_{\alpha}(\mathbf{X})$
F	F	F	F
F	T	F	F
T	F	F	F
T	T	T	T

- From example above,  $I_i^n = B_{A_i}^n, N = B_{\neg A_1}^1, K = B_{A_1 \wedge A_2}^2, A = B_{A_1 \vee A_2}^2, C = B_{A_1 \rightarrow A_2}^2, E = B_{A_1 \leftrightarrow A_2}^2$
- composing boolean functions  
 $B_{\neg A_1 \vee \neg A_2}^2(X_1, X_2) = A(N(I_1^2(X_1, X_2)), N(I_2^2(X_1, X_2)))$   
check with Polish notation

*Remark.* will soon ask whether every boolean function is obtainable in this fashion

**Definition.** ordering on  $\{F, T\}$  by  $F < T$  i.e. natural order if  $F = 0, T = 1$

**Theorem.** 15A  
Let  $\alpha, \beta$  be  $wff$  where sentence symbols are among  $A_1, \dots, A_n$   
then  
a)  $\alpha \models \beta$  iff  $\forall \mathbf{X} \in \{F, T\}^n, B_{\alpha}^n(\mathbf{X}) \leq B_{\beta}(\mathbf{X})$   
b)  $\alpha \models \beta$  iff  $B_{\alpha} = B_{\beta}$   
c)  $\models \alpha$  iff  $\beta_{\alpha}$  is constant function with value  $T$   
*Proof.* Recall def of  $\alpha \models \beta$   
 $\alpha \models \beta$

$$\begin{aligned} &\Leftrightarrow \forall 2^n \text{ assignments } v, \bar{v}(\alpha) = T \Rightarrow \bar{v}(\beta) = T \\ &\Leftrightarrow \forall 2^n \text{ } n\text{-tuples } \mathbf{X}, B_{\alpha}(\mathbf{X}) = T \Rightarrow B_{\beta}(\mathbf{X}) = T \\ &\Leftrightarrow \forall 2^n \text{ } n\text{-tuples } \mathbf{X}, B_{\alpha}(\mathbf{X}) \leq B_{\beta}(\mathbf{X}) \end{aligned}$$

where  $F < T$

*Remark.* now can identify tautologically equivalent  $wffs$ , and don't need the formal language  
are there boolean functions which are not realized by a  $wff$ ? need thm...

**Theorem.** 15B  
Let  $G$  be an  $n$ -place boolean function,  $n \geq 1$   
can find a  $wff$  s.t.  $G = B_{\alpha}^n$  i.e. s.t.  $\alpha$  realizes  $G$   
intuition: every boolean function is realizable

*Proof.* pg 47-48

*Remark.*  $\alpha$  which realizes  $G$  need not be unique, can be any tautologically equivalent  $wff$  to  $\alpha$ .  
It may be of interest to choose  $\alpha$  to be as short as possible

**Corollary.** we have enough (in fact, more than enough) sentential connectives

*Proof.* suppose we can expand the language with connectives (like majority  $\#$ )  
Any  $wff \varphi$  in the expanded language realizes  $B_{\varphi}^n$  by thm 15B, we have a  $wff \alpha$  in the original language s.t.  $B_{\varphi}^n = B_{\alpha}^n$  by thm 15C,  $B_{\varphi}^n$  and  $B_{\alpha}^n$  are tautologically equivalent

*Remark.* actually,  $\alpha$  can be build only with connectives  $\wedge, \vee, \neg$

**Definition.**  $\alpha$  is in disjunctive normal form (DNF) means  $\alpha$  is disjunction  $\alpha = \gamma_1 \vee \dots \vee \gamma_k$  where each  $\gamma_i$  in a conjunction  $\gamma_i = \beta_{i1} \wedge \dots \wedge \beta_{in}$  where each  $\beta_{ij}$  is a sentence symbol or the negation of a sentence symbol

*Remark.* advantage of DNF: they explicitly list truth assignments satisfying the formula

**Corollary.** 15C  
For any  $wff \varphi$ ,  
can find a tautologically equivalent  $wff \alpha$  in DNF

**Definition.** complete set of connectives means every function  $\bar{G} : \{F, T\}^n \rightarrow \{F, T\}$  for  $n \geq 1$  can be realized by using only those connectives

**Example.**  $\{\wedge, \vee, \neg\}$  is complete  
Pf: use boolean functions  $K, A, N$ ?  
note: this is a property of corresponding boolean functions  $K, A, N$ , but connective symbols are more convenient?  
now can rewrite any  $wff$  in terms of  $\{\wedge, \vee, \neg\}$

**Theorem.** both  $\{\neg, \wedge\}$  and  $\{\neg, \vee\}$  are complete

*Proof.* book

*Remark.* showing set of connectives is not complete is usually difficult  
method: first show (by induction usually) that for any  $wff \alpha$ , using only these connectives, the function  $B_{\alpha}^n$  has some peculiarity  
second find some boolean function which lacks that peculiarity

**Example.**  $\{\wedge, \rightarrow\}$  is not complete  
pf: if sentence symbols are all  $T$ , then any formula is also  $T$  (by induction) but then there is no tautology for  $\neg$   
note: the same argument shows that  $\{\wedge, \vee, \rightarrow, \leftrightarrow\}$  is not complete

*Remark.* for each  $n$ , there are  $2^{2^n}$   $n$ -place boolean functions (and  $2^{2^n}$   $n$ -ary connectives)  
want to catalog those for  $n \leq 2$

n=0 0-ary connectives

$2^0 = 2$  0-place boolean functions, call them  $F$  and  $T$

call corresponding connective symbols are  $\top, \perp$  these are also *wffs* by themselves  $\bar{v}(\perp) = F$  and  $\bar{v}(\top) = T$  for every  $v$

e.g.  $(A \rightarrow \perp) \models (\neg A)$  (check with 2-line truth table)

n=1 unary connectives

$2^1 = 4$  1-place boolean functions: negation, identity, and two constants

and 4 corresponding connectives the only interesting one is  $\neg$

n=2 binary connectives

$2^2 = 16$  2-place boolean functions (and 16 corresponding connectives)

symbols	Equivalent	Remark	
$\top$	$\top$	2-place constant	essentially 0-ary
$\perp$	$\perp$	2-place constant	essentially 0-ary
$A$	$A$	projection	unary
$B$	$B$	projection	
$\neg A$	$\neg A$	negation	
$\neg B$	$\neg B$	negation	
$\wedge$	$A \wedge B$	and (if $F = 0, T = 1$ , this is multiplication in the field $\{0, 1\}$ )	really
$\vee$	$A \vee B$	or (inclusive)	
$\rightarrow$	$A \rightarrow B$	conditional	binary
$\leftrightarrow$	$A \leftrightarrow B$	biconditional	
$\leftarrow$	$A \leftarrow B$	reversed conditional	binary
$+$	$(A \vee B) \wedge \neg(A \wedge B)$	exclusive or (A or B but not both) (if $F = 0, T = 1$ , this is addition modulo 2 on field $\{0, 1\}$ )	
$\downarrow$	$\neg(A \vee B)$	nor (neither nor)	
$\mid$	$\neg(A \wedge B)$	nand (not both A and B)	
$<$	$(\neg A) \wedge B$	ordering where $F < T$	
$>$	$A \wedge (\neg B)$	ordering where $F < T$	

n=3 ternary connectives

$2^3 = 256$  ternary connectives

2 are essentially 0-ary,  $6(=2 \cdot \binom{3}{1})$  are essentially binary,  $30(=10 \cdot \binom{3}{2})$  are essentially binary, so 218 are ternary

e.g. majority ( $\#$ ), minority, ternary addition modulo 2 ( $+^3$ ), see exercises 7,8

### Example.

- $\{\mid\}$  and  $\{\downarrow\}$  are complete  
pf: for  $\mid, [\neg\alpha \models \alpha \mid \alpha], [\alpha \vee \beta \models (\neg\alpha) \mid (\neg\beta)]$  so  $\neg, \vee$ , which are complete, can be simulated actually, only need  $\mid$ , which is itself complete
- $\{\neg, \rightarrow\}$  is complete  
note: actually 8 of the 10 really binary connectives form a complete set with  $\neg$ , the two exceptions are  $+$  and  $\leftrightarrow$  (see exercise 5)
- $\{\perp, \rightarrow\}$  is complete  
it is supercomplete since can even realize the two 0-ary binary operations

## 1.6. SWITCHING CIRCUITS.

Remark.

- consider electrical device,  $n$  inputs and 1 output, all inputs, output are either 1 or 0, memoryless
- e.g. electrical AND, OR, NOT gates
- can combine electrical devices into tree with each output represented as a *wff*
- given some (complete) set of connectives and desired output, want to find the most efficient (in time, space, etc) tautologically equivalent circuit
- bridge circuits are bilateral (pass current either way) so our methods don't apply

## 1.7. COMPACTNESS AND EFFECTIVENESS.

### 1.7.1. COMPACTNESS.

**Theorem. compactness theorem (recall from §1.2)**  
A set  $\Sigma$  of *wff* is satisfiable (ie  $\exists$  a truth assignment satisfying every member) iff every finite subset is satisfiable

**Definition.** set  $\Sigma$  of *wff* is finitely satisfiable means every finite subset is satisfiable  
then can restate compactness thm: satisfiable  $\Leftrightarrow$  finitely satisfiable

Proof. compactness thm

satisfiable  $\Rightarrow$  finitely satisfiable

$\Sigma$  finite then finitely satisfiable  $\Rightarrow$  satisfiable

hard part:  $\Sigma$  infinite and finitely satisfiable  $\Rightarrow$  satisfiable

See book

### Corollary. 17A

if  $\Sigma \models \tau$

then  $\exists$  a finite  $\Sigma_0 \subseteq \Sigma$  s.t.  $\Sigma_0 \models \tau$

Proof. see book

note: this corollary is equivalent to compactness thm (see exercise 3)

### 1.7.2. EFFECTIVENESS AND COMPUTABILITY.

Remark. even though truth table methods are cumbersome, their existence is important

### Definition. (informal)

To decide whether  $\Sigma \models \tau$ , an effective procedure meets three conditions:

- 1) there must be a program (exact instructions) of finite number of steps (so finite space, time)
- 2) the program must be executed by a non-technical clerk or a computer, no randomness or approximations
- 3) must output  $F$  or  $T$  (or 0 or 1; or yes or no)

note: ignore practical limitations of run time and memory space

note: this informal def of effective is sufficient for assertions "there does exist an effective procedure of a certain sort", but not sufficient for negative results (see ch.3 for *effective's* precise counterpart recursive)

### Theorem. 17B

$\exists$  an effective procedure that, given finite expression  $\varepsilon$ , will decide whether or not it is a *wff*

Proof. see parsing algorithm in §1.3

Remark. change alphabet to  $(, ), \neg, \wedge, \vee, \rightarrow, \leftrightarrow, A, A'$  where  $A_5 = A''''$  etc.

so 9 symbols corresponding to digits 1-9, and 0 digit for separating expressions

**Definition.** A set  $\Sigma$  of expressions is decidable means  $\exists$  an effective procedure that, given an expression  $\alpha$ , will decide whether  $\alpha \in \Sigma$

Remark. thm 17B restated: the set of *wffs* is decidable

### Claim.

- any finite set is decidable  
pf: procedure: enumerate and check against each member
- some infinite sets are decidable but not all  
pf: there are  $2^{\aleph_0}$  sets of expressions, and only countably many effective procedures (since the procedure is completely determined by its finite instructions, and there are only  $\aleph_0$  finite sequences of letters, and the instructions, when written out, form a finite sequence of letters)

### Theorem. 17C

$\exists$  an effective procedure that, given finite set of *wffs*  $\Sigma; \tau$ , will decide whether  $\Sigma \models \tau$

note: "given" implies finite since cant be given infinite object

Proof. truth table method in §1.2 is effective

### Corollary. 17D

For a finite set  $\Sigma$ , the set of tautological consequences of  $\Sigma$  is decidable  
in fact, the set of tautologies is decidable

Remark.

- if  $\Sigma$  is infinite set - even a decidable one then in general its set of tautological consequences may not be decidable (see ch3)
- but we can get a weaker result ie half of decidability

**Definition.** set  $A$  of expressions is effectively enumerable means  $\exists$  an effective procedure that lists, in some order, the members of  $A$

Remark. if set  $A$  is infinite, effectively enumerable then the procedure can never finish but for any specified member of  $A$ , it must eventually (in finite time) appear in the list

### Theorem. 17E

set  $A$  of expression is effectively enumerable iff  $\exists$  an effective procedure that, given any expression  $\varepsilon$ , produces a "yes" iff  $\varepsilon \in A$

Remark. if  $\varepsilon \notin A$ , then the procedure will go on forever without producing any answer, this procedure is called half of a decision procedure

**Definition.** set  $A$  of expressions is semidecidable means  $\exists$  an effective procedure that, given any expression  $\varepsilon$ , produces a "yes" iff  $\varepsilon \in A$

### Theorem. 17E restated

a set is effectively enumerable iff it is semidecidable

Proof. thm 17E

see book

Remark. decidable  $\Rightarrow$  semidecidable

intuition: "no" bulb is burned out

### Theorem. 17F (Kleene's)

A set of expressions is decidable iff it and its complement (relative to the set of all expressions) are effectively enumerable

Proof. exercise 8

Remark. The class of decidable sets is closed under union, intersection, and complementation.

Pf: if sets  $A, B$  are effectively enumerable sets, then so are  $A \cup B, A \cap B$

For complementation, see thm 17F

### Theorem. 17G

if  $\Sigma$  is a decidable set of *wffs* (or even if  $\Sigma$  is effectively enumerable) then the set of tautological consequences of  $\Sigma$  is effectively enumerable

Proof. see book (its short)

Remark. later, will want effective procedures to compute functions

will say  $f$  is effectively enumerable ("computable") iff  $\exists$  an effective procedure that, given an input  $x$ , will eventually produce the correct output  $f(x)$