

# ALGEBRA, HUNGERFORD

*Remark.*

- propositions (and their lemmas and corollaries) can be skipped if just following the main line of development
- see graphic of which chapters depend on which

## 1. INTRODUCTION: PREREQUISITES AND PRELIMINARIES

*Remark.* assume familiarity with fields  $\mathbb{Q}, \mathbb{R}, \mathbb{C}$

### 1.1. LOGIC.

**Definition.**

- statement has truth value true or false (not both)
- negation, and, or, implies, iff

### 1.2. SETS AND CLASSES.

*Remark.*

- will use Godel-Bernays axiomatic set theory
- will state just enough axioms to define cardinal numbers (§0.8) and category (§1.7)
- will write axioms using first-order predicate calculus
- axiomatic approach avoids paradoxes (statement and its negation are deducible) (which implies every statement is true)

**Definition.**

- class, membership, and equality are primitive (undefined) notions
- standard model: class is collection of member elements, and can determine whether given object is a member
- equality properties:  
 $\forall A, B, C, : A = A; A = B \Rightarrow B = A; (A = B \wedge B = C) \Rightarrow A = C; (A = B \wedge x \in A) \Rightarrow x \in B$
- axiom of extensionality ( $x \in A \Leftrightarrow x \in B \Rightarrow A = B$ )  
 ie two classes with the same elements are equal
- set is any  $A$  st  $\exists B$  st  $A \in B$  ie any class member
- proper class is a class that is not a set
- axiom of formation  $\forall$  statements  $P(y)$  in first order predicate calculus with variable  $y$ ,  $\exists$  class  $A$  st  $x \in A$  iff  $P(y)$  is true  
 ntn:  $A = \{x|P(x)\}$

**Example.** Russel's paradox

define class  $M = \{X|X \text{ is a set and } X \notin X\}$

$M$  is a proper class, since if it were a set, then  $M \in M$  or  $M \notin M$

case  $M \in M$ : then  $M \notin M$ , contradiction

case  $M \notin M$ : then  $M \in M$ , contradiction

a paradox

*Remark.*

- next: construction of sets using unions, intersections, functions, relations, cartesian products, etc
- we assume sufficient axioms that these constructions performed on sets result in a set eg union of sets is a set
- the usual way to show that a class is a set is to construct it this way from sets

## SUBCLASSES, SUBSETS, EMPTY SET

**Definition.**

- subclass  $A \subset B$ :  $\forall x \in A, x \in A \Rightarrow x \in B$
- a subset is a subclass of a set
- empty set ("null set")  $\emptyset$  is the set with no elements ie  $\forall x, x \notin \emptyset$

**Claim.**

- $A = B \Leftrightarrow (A \subset B \text{ and } B \subset A)$
- $\forall B, \emptyset \subset B$

*Proof.*

- axiom of extensionality and properties of equality

- def of subclass,  $x \in \emptyset$  is always false

## POWER SET, INDEXED FAMILY OF SETS

**Definition.**

- power axiom: powerset  $P(A) = 2^A = \{\text{all subsets of } A\}$  (note: is indeed a set)
- a family of sets indexed by class  $I$  is  $\{A_i|i \in I\}$
- union  $\cup_{i \in I} A_i = \{x|x \in A_i \text{ for some } i \in I\}$
- intersection  $\cap_{i \in I} A_i = \{x|x \in A_i \text{ for all } i \in I\}$   
 ntn: for finite  $I, A_1 \cup A_2 \cup \dots \cup A_n$   
 note: we have axioms that union and intersection of sets is a set, even if  $I$  not a set
- disjoint  $A, B$  means  $A \cap B = \emptyset$
- the relative complement of  $A$  in  $B$  is the subclass  $B - A = \{x|x \in B, x \notin A\}$
- the complement of  $A$  wrt some superset "universe"  $U$  is  $A' = U - A$

**Claim.**

- (distribution)  
 $A \cap (\cup_{i \in I} B_i) = \cup_{i \in I} (A \cap B_i)$   
 $A \cup (\cap_{i \in I} B_i) = \cap_{i \in I} (A \cup B_i)$
- (deMorgan)  
 $(\cup_{i \in I} A_i)' = \cap_{i \in I} A_i'$   
 $(\cap_{i \in I} A_i)' = \cup_{i \in I} A_i'$
- (subclass, union, intersection)  
 $A \subset B \Leftrightarrow A \cup B = B \Leftrightarrow A \cap B = A$

### 1.3. FUNCTIONS.

**Definition.**

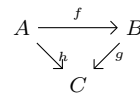
- a function ("map")  $f$  from domain  $Dom(f) = A$  to codomain ("range")  $B, f : A \rightarrow B$ , assigns to each  $a \in A$  exactly one  $b \in B$
- the image ("value") of  $f$  at  $a$  is  $f(a)$   
 ntn:  $a \mapsto f(a)$
- equal functions mean equal domain, range, and image of each element of domain
- the restriction of  $f : A \rightarrow B$  to  $S \subset A$  is function  $f|_S : S \rightarrow B$  st  $a \mapsto f(a) \forall a \in S$
- the identity function of  $A$  is function  $1_A : A \rightarrow A, a \mapsto a \forall a \in A$
- the inclusion map of  $S \subset A$  into  $A$  is  $1_A|_S : S \rightarrow A$
- the composite of functions  $f : A \rightarrow B, g : B \rightarrow C$  is function  $g \circ f : A \rightarrow C, a \mapsto g(f(a)) \forall a \in A$   
 ntn:  $gf$

**Claim.**

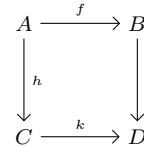
- $h(gf) = (hg)f$  where  $f, g, h$  have appropriate domains, codomains
- if  $f : A \rightarrow B$ , then  $f \circ 1_A = f = 1_B \circ f$

**Definition.**

- a diagram of functions is commutative means



– case triangle:  $gf=h$



– case square:  $kh = gf$

– case diagram with many triangles and squares: each triangle and square is commutative

- the image of  $S \subset A$  under  $f : A \rightarrow B$  is the class  $f(S) = Im(f) = \{b \in B|b = f(a) \text{ for some } a \in S\}$
- the inverse image of  $T \subset B$  under  $f : A \rightarrow B$  is class  $f^{-1}(T) = \{a \in A|f(a) \in T\}$   
 ntn: if  $T$  is singleton  $\{b\}$ , write  $f^{-1}(b)$

**Claim.**

- for  $S \subset A, f^{-1}(f(S)) \supset S$
- for  $T \subset B, f(f^{-1}(T)) \subset T$

- for any family of subsets of  $B$

$$f^{-1}(\cup_{i \in I} T_i) = \cup_{i \in I} f^{-1}(T_i)$$

$$f^{-1}(\cap_{i \in I} T_i) = \cap_{i \in I} f^{-1}(T_i)$$

**Definition.**

- injection ("1-1"):  $\forall a, a' \in A, a \neq a' \Rightarrow f(a) \neq f(a')$
- surjection ("onto"):  $f(A) = B$  ie  $\forall b \in B \exists a \in A$  st  $f(a) = b$
- bijection ("1-1 correspondence"): both injection and surjection

**Claim.**

- identity map is bijection
- Let  $f : A \rightarrow B, g : B \rightarrow C$   
 $f, g$  injective  $\Rightarrow gf$  injective  
 $f, g$  surjective  $\Rightarrow gf$  surjective  
 $gf$  surjection  $\Rightarrow f$  injection and  $g$  surjection

**Definition.** Let  $f : A \rightarrow B, A$  nonempty

- left inverse of  $f$  is  $g : B \rightarrow A$  st  $gf = 1_A$
- right inverse of  $f$  is  $h : B \rightarrow A$  st  $fh = 1_B$
- two sided inverse is left and right inverse, may not exist

**Theorem.** (3.1)

- i) injective  $\Leftrightarrow$  exists left inverse
- ii) surjective  $\Leftrightarrow$  exists right inverse

**Claim.**

- if  $f$  has left and right inverse  $g, h$  then  $g = h = f^{-1}$  and is unique  
 pf:  $g = g1_B = g(fh) = (gf)h = 1_A h = h$
- $f : A \rightarrow B$  bijective  $\Leftrightarrow f$  has 2-sided inverse
- if  $f$  bijective,  $f^{-1}$  is also a bijection  
 pf: its 2 sided inverse is  $f$

### 1.4. RELATIONS AND PARTITIONS.

#### ORDERED PAIR, CARTESIAN PRODUCT, RELATION, GRAPH

**Definition.**

- axiom of pair formation:  $\forall$  sets  $a, b, \exists$  set  $P = \{a, b\}$  st  $x \in P$  iff  $x = a$  or  $x = b$ ; and if  $a = b, P = \{a\}$
- ordered pair  $(a, b)$  is the set  $\{\{a\}, \{a, b\}\}$  with first component  $a$  and second component  $b$   
 note:  $(a, b) = (a', b')$  iff  $a = a'$  and  $b = b'$
- the cartesian product of classes  $A, B$  is class  $A \times B = \{(a, b)|a \in A, b \in B\}$   
 note:  $A \times \emptyset = \emptyset = \emptyset \times B$
- a relation on  $A \times B$  is a subclass  $R$  of  $A \times B$
- a graph of function  $f : A \rightarrow B$  is relation  $R = \{(a, f(a))|a \in A\}$
- special [single-value] property of relation  $R \subseteq A \times B$  means every element of  $A$  is the first component of one and only one ordered pair in  $R$

**Claim.** if function then satisfies single-value property

converse: if  $R$  satisfies single value property, then  $\exists! f : A \rightarrow B$  whose graph is  $R$  (pf: define  $f(a) = b$  where  $(a, b) \in R$ )

*Remark.* when convenient will define functions as relations satisfying single-value property

this allows proving "the image of a set under a function is a set"

this also allows defining: a function with empty domain vacuously as empty set

#### EQUIVALENCE RELATIONS AND PARTITIONS

**Definition.**

- equivalence relation  $R$  on  $A \times A$  means:
  - (reflexive):  $\forall a \in A: (a, a) \in R$
  - (symmetric):  $\forall a, b \in A: (a, b) \in R \Leftrightarrow (b, a) \in R$
  - (transitive):  $\forall a, b, c \in A: (a, b) \in R, (b, c) \in R \Rightarrow (a, c) \in R$
  - ntn:  $aRb$  or  $a \sim b$

- $a$  is equivalent to  $b$  under equiv rel  $R$  means  $(a, b) \in R$
- the equivalence class of  $a \in A$  wrt equiv rel  $R$  is  $\bar{a} = \{b \in B | b \sim a\}$   
ie class of elements equiv to  $a$
- quotient class of  $A$  by equiv rel  $R$  is class  $A/R = \text{all equiv classes of } A$

**Claim.**

- $\bar{a} \neq \emptyset$
- let  $A$  a set  
then  $\cup_{a \in A} \bar{a} = A = \cup_{\bar{a} \in A/R} \bar{a}$   
pf:  $R$  is reflexive and  $a \in \bar{a} \forall a \in A$
- $\bar{a} = \bar{b} \Leftrightarrow a \sim b$   
pf:  $(\Rightarrow) \bar{a} = \bar{b} \Rightarrow a \in \bar{a} \Rightarrow a \in \bar{b} \Rightarrow a \sim b$   
 $(\Leftarrow) a \sim b$  and  $c \in \bar{a} \Rightarrow c \sim a, a \sim b \Rightarrow c \sim b \Rightarrow c \in \bar{b} \Rightarrow \bar{a} \subset \bar{b}$ , and similarly for  $\bar{b} \subset \bar{a} \Rightarrow \bar{a} = \bar{b}$
- for  $a, b \in A$  either  $\bar{a} \cap \bar{b} = \emptyset$  or  $\bar{a} = \bar{b}$   
pf:  $\bar{a} \cap \bar{b} \neq \emptyset \Rightarrow \exists c \in \bar{a} \cap \bar{b} \Rightarrow c \sim a, c \sim b \Rightarrow a \sim b, c \sim b \Rightarrow a \sim b \Rightarrow \bar{a} = \bar{b}$

**Definition.** a partition of nonempty class  $A$  is family  $\{A_i | i \in I\}$  of subsets of  $A$  st  $A_i \neq \emptyset \forall i \in I, \cup_{i \in I} A_i = A$ , and  $A_i \cap A_j = \emptyset \forall i \neq j \in I$

**Theorem. (4.1)**

Let  $A$  nonempty set  
 $R \mapsto A/R$  defines a bijection of set  $E(A) = \text{all equiv rels of } A$  onto the set  $R(A) = \text{all partitions of } A$

**1.5. PRODUCTS.**

*Remark.* this section only deals with sets, no proper classes

**Claim.**  $\exists$  a bijection between  $A_1 \times A_2$  and the set of all functions  $f : \{1, 2\} \rightarrow A_1 \cup A_2$  st  $f(1) \in A_1$  and  $f(2) \in A_2$   
(so can characterize  $A_1 \times A_2$  with functions)

*Proof.* Let  $(a_1, a_2) \in A_1 \times A_2$   
define function  $f : \{1, 2\} \rightarrow A_1 \cup A_2$  by  $f(a) = a_1, f(2) = a_2$   
conversely, let  $f : \{1, 2\} \rightarrow A_1 \cup A_2$  st  $f(1) \in A_1, f(2) \in A_2$   
this function corresponds to  $(f(1), f(2)) = (a_1, a_2) \in A_1 \times A_2$

*Remark.* next, generalize above to arbitrary size

**Definition.** Let family of sets  $\{A_i | i \in I\}$   
the cartesian product of sets  $A_i$  is the set of all  $f : I \rightarrow \cup_{i \in I} A_i$  st  $f(i) \in A_i \forall i \in I$

ntn:  $\prod_{i \in I} A_i$   
ntn: for finite  $I = \{1, 2, \dots, n\}, A_1 \times A_2 \times \dots \times A_n$   
ntn:  $f \in \prod_{i \in I} A_i$  denoted  $\{a_i\}_{i \in I}$  or  $\{a_i\}$  where  $f(i) = a_i \forall i \in I$   
note: if some  $A_j = \emptyset$ , then  $\prod_{i \in I} A_i$  is empty since cant have  $f(j) \in A_j$

**Claim.** Let  $\{A_i | i \in I\}$  and  $\{B_i | i \in I\}$  families of sets  
 $B_i \subset A_i \forall i \Rightarrow \prod_{i \in I} B_i \subset \prod_{i \in I} A_i$

*Proof.* each function  $I \mapsto \prod_{i \in I} B_i$  is also a function  $I \mapsto \prod_{i \in I} A_i$

**UNIVERSAL MAPPING PROPERTY**

**Definition.** the (cononical) projection of functions in  $\prod_{i \in I} A_i$  onto its  $k(\in I)$ th component ("factor") is map  $\pi_k : \prod_{i \in I} A_i \rightarrow A_k, f \mapsto f(k)$  ie  $\{a_i\} \mapsto a_k$

**Claim.** if every  $A_i$  is nonempty, then each  $\pi_k$  is surjective  
pf: exercise 7.6

**Theorem. (5.1)**

Let  $\{A_i | i \in I\}$  family of sets  
then  $\exists$  set  $D$  and a family of maps  $\{\pi_i : D \rightarrow A_i | i \in I\}$  st  
 $\forall$  set  $C$  and family of maps  $\{\phi_i : i \rightarrow A_i | i \in I\}$

$\exists! \phi : C \rightarrow D$  st  $\pi_i \phi = \phi_i \forall i \in I$   
furthermore  $D$  is unique up to bijection (ie if exists  $D', \{\pi'_i\}$  with same property as  $D, \{\pi_i\}$  then  $\exists$  bijection  $D \mapsto D'$ )

*Remark.*

- above thm does not mention elements, only sets and maps
- this thm says that product  $\prod_i A_i$  is characterized by a certain universal mapping property
- will discuss further when get to categories and functors

**1.6. THE INTEGERS.**

*Remark.*

- will not give axiomatic development of the integers
- assume know sets  $\mathbb{Z}, \mathbb{N} = \{0, 1, 2, \dots\}, \mathbb{N}^* = \{1, 2, \dots\}$  and properties of addition, multiplication, and order  
(associative)  $(a+b)+c = a+(b+c), (ab)c = a(bc)$   
(commutative)  $a+b = b+a, ab = ba$   
(distributive)  $a(b+c) = ab+ac, (a+b)c = ac+bc$   
(identities)  $a+0 = a, a1 = a$   
(additive inverse)  $\forall a \in \mathbb{Z} \exists! -a \in \mathbb{Z}$  st  $a+(-a) = 0$ ; ntn  $a - a$   
(order)  $ab = 0 \Leftrightarrow a = 0 \vee b = 0; a < b \Rightarrow a + c < b + c \forall c \in \mathbb{Z}; a < b \Rightarrow ad < bd \forall d \in \mathbb{N}^*$   
ntn:  $a > b$  means  $b < a; a \leq b$  iff  $a < b \vee a = b; |a| = a$  if  $a \geq 0$  and  $-a$  if  $a < 0$

**INDUCTION AND RECURSION**

**Definition.** axiom law of well ordering: every nonempty subset  $S$  of  $\mathbb{N}$  contains a least element ( $b \in S$  st  $b \leq c \forall c \in S$ )  
in particular, 0 is least element of  $\mathbb{N}$

**Theorem. (6.1) (principle of mathematical induction)**

if  $S \subset \mathbb{N}$  st  $0 \in S$  and either  
1)  $a \in S \Rightarrow n+1 \in S \forall n \in \mathbb{N}$  or  
2)  $m \in S \forall 0 \leq m < n \Rightarrow n \in S \forall n \in \mathbb{N}$   
then  $S = \mathbb{N}$   
note: this extends to  $0, \mathbb{N}$  replaced by  $c, M_c = \{x \in \mathbb{Z} | x \geq c\}$

**Theorem. 6.2 (Recursion thm)**

if  $S$  a set,  $a \in S, \forall n \in \mathbb{N}, f_n : S \rightarrow S$   
then  $\exists! \phi : \mathbb{N} \rightarrow S$  st  $\phi(0) = a$  and  $\phi(n+1) = f_n(\phi(n)) \forall n \in \mathbb{N}$   
note: will use this in eg thm 8.8, thm III.3.7, to show recursive or inductive defs are valid

**Definition.** a sequence in  $A$  is a function  $\mathbb{N} \rightarrow A$  (or  $\mathbb{N}^* \rightarrow A$ )

ntn:  $\{a_i\}_{i \in \mathbb{N}} = \{a_i\} = \{a_0, a_1, a_2, \dots\}$

**DIVISION; PRIMES; FUND THM ARITHMETIC**

**Theorem. (6.3) (Division algorithm)**  
if  $a, b \in \mathbb{Z}$  and  $a \neq 0$ , then  $\exists! q, r$  st  $b = aq + r$  and  $0 \leq r < |a|$

**Definition.** integer  $a \neq 0$  divides  $b, a | b$ , means  $\exists k \in \mathbb{Z}$  st  $ak = b$   
ntn: otherwise,  $a \nmid b$

**Definition. (6.4)**

the greatest common divisor of integers  $a_1, a_2, \dots, a_n$  is positive integer  $c$  st (1)  $c | a_i$   $1 \leq i \leq n$  and (2)  $d \in \mathbb{Z}$  and  $d | a_i$  for  $1 \leq i \leq n \Rightarrow d | c$   
ntn:  $c = (a_1, a_2, \dots, a_n)$

**Theorem. (6.5)**

if  $a_1, a_2, \dots, a_n \in \mathbb{Z}$  not all 0, then  $(a_1, a_2, \dots, a_n)$  exists  
furthermore,  $\exists k_1, c_2, \dots, k_n$  st  $(a_1, a_2, \dots, a_n) = k_1 a_1 + k_2 a_2 + \dots + a_n k_n$

**Definition.**

- relatively prime integers  $a_1, a_2, \dots, a_n$  means  $(a_1, a_2, \dots, a_n) = 1$
- prime integer  $p > 1$  means its only divisors are  $\pm 1$  and  $\pm p$

**Claim.** if  $p$  prime and  $a \in \mathbb{Z}$ , then either  
case  $p | a$ :  $(a, p) = p$   
case  $p \nmid a$ :  $(a, p) = 1$

**Theorem.**

- if  $a, b$  are relatively prime and  $a | bc$  then  $a | c$
- if  $p$  is prime and  $p | a_1 a_2 \dots a_n$  the  $p | a_i$  for some  $i$

**Theorem. (fundamental thm of algebra)**  
any integer  $n > 1$  can be written  $n = p_1^{t_1} p_2^{t_2} \dots p_k^{t_k}$  where  $p_1 < p_2 < \dots < p_k$  and  $t_i > 0 \forall i$

**MODULO/CONGRUENCE**

**Definition.** let  $m > 0$  integer,  $a, b \in \mathbb{Z}$ .  $a$  is congruent to  $b$  modulo  $m$  means  $m | (b - a)$   
ntn:  $a \equiv b \pmod{m}$

**Theorem. (6.8)**

- let  $m > 0$  integer,  $a, b, c, d \in \mathbb{Z}$ . then
- $i$ ) congruence modulo  $m$  is an equiv rel on  $\mathbb{Z}$ , has  $m$  equiv classes
  - $ii$ )  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m} \Rightarrow a + c \equiv b + d \pmod{m}$  and  $ac \equiv bd \pmod{m}$
  - $iii$ ) if  $ab \equiv ac \pmod{m}$  and  $a, m$  are relatively prime  $\Rightarrow b \equiv c \pmod{m}$

**1.7. THE AXIOM OF CHOICE, ORDER, AND ZORN'S LEMMA.**

*Remark.*

- this section only deals with sets, no proper classes
- relationship between the three topics of this section:  
AoC  $\Leftrightarrow$  Zorn's Lemma  $\Leftrightarrow$  well ordering principle

**Definition. axiom of choice** The product of a family of nonempty sets indexed by a nonempty set is nonempty  
note: another version in exercises

**ORDER**

**Definition.**

- a partially ordered set is a nonempty set  $A$  together with a relation  $R$  on  $A \times A$  (called a partial ordering of  $A$ ) which is reflexive, transitive, and antisymmetric ( $(a, b) \in R, (b, a) \in R \Rightarrow a = b$ )  
ntn:  $a \leq b$  means  $(a, b) \in R$   
ntn:  $a < b$  means  $a \leq b$  and  $a \neq b$
- comparable elements  $a, b \in A$  (wrt partial ordering) means  $a \leq b$  or  $b \leq a$
- linear ordering ("total ordering", "simple ordering") is partial ordering st any two elements are comparable

**Example.** let  $A$  be power set of  $\{1, 2, 3, 4, 5\}$   
define order relation on subsets of  $A$ :  $C \leq D$  iff  $C \subset D$

then  $A$  is partially ordered, but not linearly ordered (eg  $\{1, 2\}$  and  $\{3, 4\}$  not comparable)

**ZORN'S LEMMA**

**Definition.** Let  $A$  nonempty, partially ordered by  $\leq$

- maximal element of  $(A, \leq)$  is  $a \in A$  st for every  $c \in A$  which is comparable to  $a, c \leq a$  ie  $a \leq c \Rightarrow a = c$   
note: need not be unique or even exist (eg for  $\mathbb{Z}$  with usual ordering)
- an upper bound of a nonempty subset  $B$  of  $A$  is an element  $d \in A$  st  $b \leq d$  for every  $b \in B$
- a chain in  $A$  is a subset  $B$  that is linearly ordered by  $\leq$

- **Zorn's lemma:** if every chain in  $A$  has an upper bound in  $A$  then  $A$  contains a maximal element (will use this powerful tool)

WELL ORDERING PRINCIPLE

**Definition.**

- a well ordered set  $A$  is total ordered and every nonempty subset  $B$  has a least element  $c \in B$  ( $c \leq b \forall b \in B$ )
- well ordering principle: nonempty set  $A \Rightarrow \exists$  linear ordering  $\leq$  of  $A$  st  $(A, \leq)$  is well ordered
- the immediate predecessor of an element  $a$  in a well-ordering is  $c$  st  $a$  is the least element of  $\{x | c < x\}$

**Claim.** well ordered  $\Rightarrow$  linearly ordered  
well ordered  $\neq$  linearly ordered

*Proof.*  $\forall a, b \in A$   $\{a, b\}$  must have a least element ie  $a \leq b$  or  $b \leq a$

**Example.**

- $\mathbb{N}$  is well ordered, see previous section
- $\mathbb{Z}$  with usual ordering is linearly ordered but not well ordered, eg negatives have no least element but can choose another ordering under which  $\mathbb{Z}$  is well ordered...
  - $0, 1, -1, 2, -2, 3, -3, \dots, n, -n, \dots$   
every nonzero element has an immediate predecessor  
no maximal elements
  - $0, 1, 3, 5, 7, \dots, 2, 4, 6, 8, \dots, -1, -2, -3, -4, \dots$   
2 and -1 have no immediate predecessor  
no maximal elements
  - $0, 3, 4, 5, 6, \dots, -1, -2, -3, -4, \dots, 1, 2$   
-1 and 1 have no immediate predecessor  
2 is maximal element  
0 is least element in all three

*Remark.* well-ordering principle allows extending mathematical induction for positive integers (6.1) to any well ordered set

**Theorem.** (principle of transfinite induction)  
 $B$  is a subset of well ordered set  $(A, \leq)$  st  $\forall a \in A$  ( $\{c \in A | c < a\} \subset B \Rightarrow a \in B$ )  
 $\Rightarrow B = A$

1.8. CARDINAL NUMBERS.

*Remark.* will often use this section up to 8.5 will occasionally use stuff from thm 8.5 to end (see book for specific thms, lems) and may be omitted-for now

**Definition.**

- equipollent sets  $A, B$  means  $\exists$  bijection  $A \rightarrow B$   
ntn:  $A \sim B$
- $A$  has precisely  $n$  elements means equipollent to  $I_n = \{1, 2, \dots, n\}$  (with  $I_0 = \emptyset$ )
- finite set  $A$  means  $A \sim I_n$  for some unique  $n \geq 0$   
infinite otherwise

**Theorem.** (8.1)  
*Equipollence is an equivalence relation on the class  $S$  of all sets*

**Definition.** (8.2)

- the cardinal number of set  $A$ ,  $|A|$ , is the equivalence class of  $A$  under the equivalence relation of equipollence  
ntn: lower case greek letters eg  $\alpha, \beta, \gamma$   
note: we defined cardinal number as a proper class, but elsewhere it is usually defined as a set, but many results are same both defs, since they are just statements about the equipollence of sets
- finite (infinite) cardinal number means finite (infinite) set  
ntn: for finite, identify integer  $n > 0$  with  $|I_n|$  and write  $|I_n| = n$
- ntn:  $\aleph_0 = |\mathbb{N}|$

- denumerable set  $A$  means  $|A| = \aleph_0$

**Claim.**

- i) every set has a unique cardinal number
- ii) two sets have the same cardinal number iff they are equipollent ie  $|A| = |B| \Leftrightarrow A \sim B$
- iii) the cardinal number of a finite set is the number of elements in the set  
 $\mathbb{Z}, \mathbb{Q}$  are denumerable,  $\mathbb{R}$  is not

**Definition.** (8.3) (cardinal arithmetic)  
Sum of cardinal numbers  $\alpha = |A|$  and  $\beta = |B|$  is  $\alpha + \beta = |\alpha \cup \beta|$  where  $A, B$  disjoint sets  
product is  $\alpha\beta = |A \times \beta|$

**Claim.**

- $\forall$  cardinal numbers  $\alpha \exists A$  st  $\alpha = |A|$   
also, for addition, disjoint sets always exist
- the sum and product are indep of choice of sets
- addition and multiplication of cardinals are associative, commutative, and distributive
- addition and multiplication of finite cardinals agree with regular addition of nonnegative integers with which they are identified

**Definition.** (8.4)  
Let sets  $A, B$  and  $\alpha = |A|, \beta = |B|$   
 $\alpha$  is less than or equal to  $\beta$ ,  $\alpha \leq \beta$  or  $\beta \geq \alpha$ , means  $A$  is equipollent with a subset of  $B$  (ie exists injection  $A \rightarrow B$ )  
 $\alpha$  is strictly less than  $\beta$ ,  $\alpha < \beta$  or  $\beta > \alpha$ , means  $\alpha \leq \beta$  and  $\alpha \neq \beta$

**Claim.** def of  $\leq$  does not depend on choice of  $A$  and  $B$   
for finite cardinals,  $\leq$  agrees with usual ordering

**Theorem.** (8.5)  
Let set  $A$ .  $|A| < |P(A)|$  where  $P$  is powerset

**Corollary.** there is no largest cardinal number

**Claim.**  $\aleph_0 = |\mathbb{N}| < |P(\mathbb{N})| = |\mathbb{R}|$

**Definition.** continuum hypothesis (CH): there is no  $\beta$  st  $|\mathbb{N}| < \beta < |\mathbb{R}|$   
rmk: CH is indep of AoC and the axioms of set theory

**Theorem.** (8.6) (Schröder-Bernstein)  
 $A, B$  sets st  $|A| \leq |B|$  and  $|B| \leq |A|$   
 $\Rightarrow |A| = |B|$

**Theorem.** (8.7)  
• the class of all cardinal numbers is linearly ordered by  $\leq$   
• (trichotomy) let cardinals  $\alpha, \beta$ . Exactly one of these holds:  $\alpha < \beta; \alpha = \beta; \beta < \alpha$

*Remark.* pf of 8.7 defined partially ordered family of functions which were ordered by extension  
note: this pf was typical use of zorn's lemma

**Theorem.** (8.8)  
every infinite set has a denumerable subset  
in particular,  $\aleph_0 \leq \alpha$  for every infinite cardinal  $\alpha$

**Lemma.** (8.9)  
if  $A$  infinite set and  $F$  a finite set, then  $|A \cup F| = |A|$   
in particular  $\alpha + n = \alpha$  for  $\alpha$  infinite and  $n$  finite

**Theorem.** (8.10)  
if  $\alpha, \beta$  cardinals st  $\beta \leq \alpha$  and  $\alpha$  infinite  
then  $\alpha + \beta = \alpha$

**Theorem.** (8.11)  
if  $\alpha, \beta$  cardinals st  $0 \neq \beta \leq \alpha$  and  $\alpha$  infinite  
then  $\alpha\beta = \alpha$   
in particular,  $\alpha\aleph_0 = \alpha$  and if  $\beta$  finite,  $\aleph_0\beta = \aleph_0$

**Theorem.** Let set  $A$  and for each integer  $n \geq 1$   
ntn  $A^n = A \times A \times \dots \times A$  ( $n$  factors)  
i)  $A$  finite then  $|A^n| = |A|^n$   
 $A$  infinite then  $|A^n| = |A|$

- ii)  $|\cup_{n \in \mathbb{N}^*} A^n| = \aleph_0 |A|$

**Corollary.** A infinite set and  $F(A)$  is the set of all finite subsets  
then  $|F(A)| = |A|$